



HealthMed Professional

Comparison List - Sources Provided:	Level I	Level II	Level III
DEA, Office of Diversion Control, Criminal and Administrative Cases Against Doctors	X	X	X
DEA, Office of Diversion Control, Registrant Actions	X	X	X
Food and Drug Administration – Warning Letters	X	X	X
Food and Drug Administration – Debarment List	X	X	X
Food and Drug Administration – Disqualified / Restricted / Restrictions / Removed / Assurances List for Clinical Investigators	X	X	X
TRICARE Sanctions	X	X	X
US Department of Commerce, Bureau of Industry and Security, Denied Persons List	X	X	X
US Department of Commerce, Bureau of Industry and Security, Entity List, Supplement No. 4 to part 744 of the Export Administration Regulations	X	X	X
US Department of Commerce, Bureau of Industry and Security, Export Administration Regulations, General Order 3 to Part 736	X	X	X
US Department of Commerce, Bureau of Industry and Security, Unverified List	X	X	X
US Department of Health and Human Services, Office of the Inspector General (OIG) List of Individuals / Entities Excluded from Federal Health and Medicare Programs	X	X	X
US Department of Health and Human Services, Office of Research Integrity, PHS Administrative Actions	X	X	X
US Department of State, Directorate of Defense Trade Controls, Statutorily Debarred Parties	X	X	X
US General Services Administration (GSA) List of Parties Excluded from Federal Procurement Programs (Now SAM)	X	X	X
US General Services Administration (GSA) List of Parties Excluded from Federal Nonprocurement Programs (Now SAM)	X	X	X
US General Services Administration (GSA) List of Parties Excluded from Federal Reciprocal Programs (Now SAM)	X	X	X
State Resources			
State Agency Issued Sanctions (terms these as Board Actions)			X
State Board Issued Sanctions (Terms these as Board Actions)			X
State Division Issued Sanctions (Terms these as Exclusions)			X
State Department Issued Sanctions (Terms these as Exclusions)			X
State Entity Sanctions (Terms these as Exclusions)			X
State Contractor Disqualification Lists (Terms these as Exclusions)			X
State Registry (Terms these as Exclusions)			X
State Medicaid Exclusions		X	X
Medicare / Medicaid Opt-Out Lists			X



Federal Sources

HHS-OIG (Department of Health & Human Services - Office of Inspector General)

“OIG’s List of Excluded Individuals/Entities (LEIE) provides information to the health care industry, patients and the public regarding individuals and entities currently excluded from participation in Medicare, Medicaid and all other Federal health care programs. Individuals and entities who have been reinstated are removed from the LEIE.” - OIG Exclusions FAQ

SAM.gov (System for Award Management)

SAM replaces EPLS. **“All entity records from CCR/FedReg and ORCA and exclusion records from EPLS, active or expired, were moved to SAM”** - SAM.gov

Below are some other sources included in the SAM:

- U.S. Department of Health & Human Services (HHS)*
- U.S. Office of Personnel Management (OPM)*
- U.S. Department of the Treasury - Office of Foreign Assets Control (TREAS-OFAC)*
- U.S. Department of Justice (DOJ)*
- U.S. Defense Logistics Agency (DLA)*
- U.S. Army (ARMY)*
- U.S. Environmental Protection Agency (EPA)*
- U.S. Department of Housing and Urban Development (HUD)*
- U.S. Department of Homeland Security – Immigration and Customs Enforcement (DHS-ICE)*
- U.S. Navy (NAVY)*
- U.S. Air Force (AF)*
- U.S. Department of Veterans Affairs (VA)*
- U.S. General Services Administration (GSA)*
- U.S. Department of Labor (DOL)*
- U.S. Department of Education (EDUC)*
- USDA's Food and Nutrition Service (USDA-FNS)*
- U.S. Department of the Interior (DOI)*
- U.S. Small Business Administration (SBA)*
- U.S. DOT - Federal Highway Administration (DOT-FHWA)*
- U.S. DOE - National Nuclear Security Administration (DOE-NNSA)*
- U.S. USDA - Farm Service Agency (USDA-FSA)*
- U.S. Agency for International Development (AID)*
- U.S. Department of State (DOS)*
- USDA's Risk Management Agency (USDA-RMA)*
- U.S. Department of the Treasury (TREAS)*
- U.S. Government Accountability Office (GAO)*
- U.S. Government Printing Office (GPO)*
- U.S. National Science Foundation (NSF)*

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U.S. Postal Service (PS)

U.S. DOS - Office of Missile, Biological, and Chemical Nonproliferation (DOS-MBC)

U.S. Department of Energy (DOE)

U.S. National Aeronautics and Space Administration (NASA)

U.S. DHS - Federal Emergency Management Agency (DHS-FEMA)

U.S. DOT - Federal Transit Administration (DOT-FTA)

U.S. Food and Drug Administration, FDA Debarment List (Drug Product Applications)

"...list of firms or persons debarred pursuant to sections 306(a), (b)(1) and (b)(2) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 335(a), (b)(1), and (b)(2)) as published in the FEDERAL REGISTER (FR)"

U.S. Food and Drug Administration, FDA Debarment List (Food Importation)

"...list of firms or persons debarred pursuant to sections 306(b)(3) of the Federal Food, Drug, and Cosmetic Act (21 U.S.C. 335(b)(3)) as published in the FEDERAL REGISTER (FR)"

U.S. Food and Drug Administration, FDA Warning Letters

Warning letters issued due to inspection, compliance, enforcement, and/or criminal investigation

U.S. Department of Defense (DOJ), Defense Health Agency, TRICARE Sanctions

"...provider sanction database contains information that relates only to providers whose sanction originated at TRICARE" - www.tricare.mil

U.S. Department of Justice (DOJ), Drug Enforcement Administration (DEA), Office of Diversion Control, Criminal Cases Against Doctors

"...listing of investigations of physician registrants in which DEA was involved that resulted in the arrest and prosecution of the registrant." - www.deadiversion.usdoj.gov

U.S. Department of Justice (DOJ), Drug Enforcement Administration (DEA), Office of Diversion Control, Administrative Action Against Doctors

U.S. Department of Health & Human Services, The Office of Research Integrity, PHS Administrative Action Bulletin Board

U.S. Food and Drug Administration, FDA Clinical Investigators - Disqualification Proceedings

"...list of clinical investigators who are or have been subject to an administrative clinical investigator disqualification action and indicates the current status of that action." - www.accessdata.fda.gov

U.S. Department of Commerce – Bureau of Industry and Security (Denied Persons List, Unverified List, Entity List)

Denied Persons List - Individuals and entities that have been denied export privileges. Any dealings with a party on this list that would violate the terms of its denial order are prohibited.

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Unverified List - End-users who BIS has been unable to verify in prior transactions. The presence of a party on this list in a transaction is a "Red Flag" that should be resolved before proceeding with the transaction.

Entity List - Parties whose presence in a transaction can trigger a license requirement supplemental to those elsewhere in the Export Administration Regulations (EAR). The list specifies the license requirements and policy that apply to each listed party.

U.S. Department of State – Bureau of International Security and Non-proliferation

Parties that have been sanctioned under various statutes. The linked webpage is updated as appropriate, but the Federal Register is the only official and complete listing of nonproliferation sanctions determinations.

U.S. Department of State – Directorate of Defense Trade Controls (AECA Debarred List)

Entities and individuals prohibited from participating directly or indirectly in the export of defense articles, including technical data and defense services. Pursuant to the Arms Export Control Act (AECA) and the International Traffic in Arms Regulations (ITAR), the AECA Debarred List includes persons convicted in court of violating or conspiring to violate the AECA and subject to "statutory debarment" or persons established to have violated the AECA in an administrative proceeding and subject to "administrative debarment."